CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please

Date Mailed to Service List: January 27, 2016

Protest Deadline (20th Day): February 17, 2016

Review Deadline (30th Day): February 27, 2016

Requested Effective Date: January 1, 2016

Utility Contact: Todd Pray

Rate Impact: \$See AL

See AL%

Utility Name: California American Water

Tier ⊠1 □2

Utility Contact: Nancy Hollingsworth

Authorization D.15-04-007

CPUC Utility #: U210W

Advice Letter #: 1109

District: Ventura County District

Description: 2015 Interim Rate True-Up

see the "Response or Protest" section in the advice letter for more information.

□3

☐ Compliance

Phone:	916-568-4209	Phone:	916-568-4232
Email:	nancy.hollingsworth@amwater.com	Email:	todd.pray@amwater.com
DWA Contact	: Tariff Unit		
Phone	: (415) 703-1133		
Email	: Water.Division@cpuc.ca.gov		
	DWA USE	ONLY	
<u>DATE</u>	STAFF	COM	MENTS
[] APPROVED	[]WITH	IDRAWN	[] REJECTED
Signature:	Con	nments:	
Date: _			



January 27, 2016

ADVICE LETTER NO. 1109

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (Cal-Am) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Ventura County District which are attached hereto:

C.P.U.C. Sheet No.	Title of Sheet	Canceling Sheet No.
XXXX-W	Schedule No. VN-1 (continued) Ventura County District Tariff Area GENERAL METERED SERVICE	8086-W
XXXX-W	Schedule No. VN-9MC (continued) Ventura County District Tariff Area METERED CONSTRUCTION SERVICE	8088-W
XXXX-W	Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE	New
XXXX-W	TABLE OF CONTENTS Page 3	8092-W
XXXX-W	TABLE OF CONTENTS Page 2	8091-W
XXXX-W	TABLE OF CONTENTS Page 1	8093-W

PURPOSE

The purpose of this advice letter filing is to request recovery of the 2013 GRC Interim Rate Memorandum Account for the Ventura County District in connection with Cal-Am's 2013 General Rate Case ("GRC") in A.13-07-002. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in the Rate Case Plan in D. 07-05-062 ("RCP") and ALJ Ruling issued on September 16, 2014 in A.13-07-002.

BACKGROUND

The Commission granted Cal-Am memorandum accounts to track the difference between interim rates and final rates through Advice Letter 1064, approved on January 5, 2015 with an effective date of January 1, 2015.

Cal-Am hereby submits this filing to recover the balances in the memorandum accounts for the Ventura County district.

Submission of these tariffs is made in compliance with Ordering Paragraph 4 of D.15-04-007, which states;

4. California-American Water Company is authorized to file by Tier 1 advice letter to recover the difference between the 2015 interim and final rates from its customers. This calculation must be based on the 2015 rate tariff schedules that would have been implemented under the present rate design.

REQUEST

Cal-Am requests the interim rate true-up total be rolled into the Consolidated Expense Balancing Account ("CEBA") as a quantity based surcharge effective February 1, 2016. The proposed 1 year amortization period is consistent with Standard Practice U-27-W.

The interim rate true-up including the Consolidated Expense Balancing Account ("CEBA") for the period January 1, 2015 through November 30, 2015, with interest, is (\$1,661,960).

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

Cal-Am submits this as a Tier 1 advice letter per D.15-04-007 and requests an effective date of February 1, 2016.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B, General Rule 7.4.2

- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy by mail (or e-mail) to us, addressed to:

Recipients: Nancy Hollingsworth Financial Analyst IIB	E-Mail: nancy.hollingsworth@amwater.com	Mailing Address: 4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260
Sarah E. Leeper Vice President – Legal, Regulatory	sarah.leeper@amwater.com	555 Montgomery Street, Ste. 816 San Francisco, CA 94111 Fax: (415) 863-0615
ca.rates	ca.rates@amwater.com	4701 Beloit Drive Sacramento, CA 95838 Fax: (916) 568-4260

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

If you have not received a reply to your protest within 10 business days, contact this person at (916) 568-4209.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Nancy Hollingsworth

Nancy Hollingsworth Financial Analyst IIB

³ G.O. 96-B, General Rule 7.4.3

1033 B Avenue, Suite 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

XXXX-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

8086-W

Schedule No. VN-1 (continued) Ventura County District Tariff Area GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
- 2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 1. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 2. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is 2.0% based on gross revenues before taxes and PUC fees for the County of Ventura and the City of Thousand Oaks.
- 3. Per Advice Letter 1072-B, a surcharge of \$0.0062 per 100 gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 4. Per Advice Letter 1093, a surcharge of \$1.86 for the Low Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- Per Advice Letter 1109, the under-collected balance in the Ventura County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0316 per 100 gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers.

(C)

6. Per Advice Letter 1088-A, a surcharge is applied to each bill to offset the increase in purchased water cost imposed by the Calleguas Municipal Water District. This increase results in a needed revenue increase of \$880,769 or 2.42%. The surcharge of \$0.1257 per hundred cubic feet (Ccf) or \$0.0168 per 100 gallons is added to the quantity rate effective September 4, 2015.

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO. 1109		J. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.	D.15-04-007	DIRECTOR – Rates & Regulatory	RESOLUTION
		TITLE	

1033 B Avenue, Suite 200 CORONADO, CA 92118 Revised

C.P.U.C. SHEET NO.

XXXX-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

8088-W

(C)

Schedule No. VN-9MC (Continued) Ventura County District Tariff Area METERED CONSTRUCTION SERVICE

SPECIAL CONDITIONS:

General Items:

- Construction water service under this schedule will be furnished only when surplus water is available over the requirements for domestic service and under conditions which will not adversely affect domestic service. The utility will be the sole judge as to the availability of such surplus water.
- 2. Applicants for metered construction service will be required to apply for the service at least 48 hours in advance of the time delivery of water is requested and to pay the costs and charges as provided in Rule 13, Temporary Service.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 4. A surcharge is included on each bill to collect franchise taxes and/or business license fees paid to various municipalities. The amount collected is 2.0% based on gross revenues before taxes and PUC fees for the County of Ventura and the City of Thousand Oaks.
- 5. Per Advice Letter 1072-B, a surcharge of \$0.0062 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 6. Per Advice Letter 1093, a surcharge of \$1.86 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers.
- 7. Per Advice Letter 1109, the under-collected balance in the Ventura County District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0316 per hundred gallons over 12 months effective February 1, 2016. The total amount will be recovered from all classes of customers.

8. Per Advice Letter 1088-A, a surcharge is applied to each bill to offset the increase in purchased water cost imposed by the Calleguas Municipal Water District. This increase results in a needed revenue increase of \$880,769 or 2.42%. The surcharge of \$0.1257 per hundred cubic feet (Ccf) or \$0.0168 per 100 gallons is added to the quantity rate effective September 4, 2015.

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		NAME	EFFECTIVE	
DECISION NO.	D.15-04-007	DIRECTOR – Rates & Regulatory	RESOLUTION	
	·	TITLE	-	Ī

1033 B Avenue, Suite 200 CORONADO, CA 92118

C.P.U.C. SHEET NO. XXXX-W

CANCELLING

New

C.P.U.C. SHEET NO.

Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE

SPECIAL CONDITIONS APPLICABLE TO DISTRICTS

Per authorized Advice Letter 1109, the balance in the following District Consolidated Expense Balancing Account (CEBA) will be recovered or refunded through a Fire Service connection based surcharge or surcredit effective February 1, 2016.

SURCHARGES

Larkfield District	Advice Letter	Duration	Per Month	
For each 1 1/2-inch connection	1107	36 Months	\$0.38	(N)
For each 4-inch connection	1107	36 Months	\$0.54	` ´
For each 6-inch connection	1107	36 Months	\$0.86	
For each 8-inch connection	1107	36 Months	\$1.17	400
For each 10-inch connection	1107	36 Months	\$1.50	(N)
San Diego County District				
For each 4-inch connection or	1108	12 Months	\$0.89	(N)
smaller				
For each 6-inch connection	1108	12 Months	\$1.74	
For each 8-inch connection	1108	12 Months	\$2.54	
For each 10-inch connection	1108	12 Months	\$3.64	
For each 12-inch connection	1108	12 Months	\$5.25	(N)
Ventura County District				()
For each 4-inch connection or	1109	12 Months	\$0.16	(A.I)
smaller				(N)
For each 6-inch connection	1109	12 Months	\$0.26	
For each 8-inch connection	1109	12 Months	\$0.35	
For each 10-inch connection	1109	12 Months	\$0.44	
For each 12-inch connection	1109	12 Months	\$0.56	(N)
Sacramento District				
For each 4-inch connection or				
smaller				
For each 6-inch connection				
For each 8-inch connection				
For each 10-inch connection				
For each 12-inch connection				

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO.	1109	J. LINAM	DATE FILED
_	_	NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
_			NO

1033 B Avenue, Suite 200 CORONADO, CA 92118

Revised

C.P.U.C. SHEET NO.

XXXX-W

CANCELLING

Revised

C.P.U.C. SHEET NO.

8092-W

(C)

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(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service

Areas) (Continued)

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7735-W, 7736-W, 8026-W

(Ambler Park, Ralph Lane & Chualar Service Areas)

MO-ARC-1 General Metered Service 7989-W, 7698-W, 7699-W, 7700-W,

8027-W

(Toro)

General Metered Service MO-TO-1 7695-W, 7696-W, 8019-W

(Garrapata)

MO-GA-2 General Flat Rate Service 7693-W, 7694-W

Sacramento District

SC-1 General Metered Service 7654-W, 7655-W, 7656-W, 8028-W

San Diego County District

SD-1 General Metered Service 7714-W, 7715-W, 8006-W, 8013-W

Ventura County District

VN-1 General Metered Service 8084-W, 8085-W, XXXX-W, 8014-

W, 8074-W, 7627-W

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(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)	
ADVICE LETTER NO.	1109	J. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION	_
		TITLE		

1033 B AVENUE, SUITE 200

CORONADO, CA 92118 CANCELLING Revised C.P.U.C. SHEET NO. 8091-W

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XXXX-W

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	Private Fire Protection Service	7741-W, 8001-W, 8020-W, 8021-W,	
0.4.11	D: 4 E: 11 1 40 :	7628-W, XXXX-W	(N)
CA-4H	Private Fire Hydrant Service	7991-W, 7743-W, 7744-W, 7745-W,	
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LK-F	Facilities Fees	7651-W	
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LA-1	General Metered Service	7706-W, 7707-W, 7708-W, 7709-W,	
		7710-W, 7998-W, 8016-W	
LA-3M	Measured Irrigation Service	7711-W, 7712, 7713-W, 8000-W,	
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(Continued)

(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
		TITLE	

1033 B Avenue, Suite 200

CORONADO, CA 92118 CANCELLING 8093-W Revised

Revised

C.P.U.C. SHEET NO.

C.P.U.C. SHEET NO.

XXXX-W

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Utility, together with other pertinent information:	Ç Ç
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		NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
		TITLE	

VENTURA COUNTY DISTRICT SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1109

BY MAIL:

Los Angeles Docket Office California Public Utilities Commission 320 West 4th Street, Suite 500 Los Angeles, CA 90013 California Dept of Health Services Division of Drinking Water & Environmental Management PO Box 997416 Sacramento, CA 95899-7413

California Water Service P.O. Box 49062 San Jose, CA 95161-9062 Danilo Sanchez California Public Utilities Commission Division of Ratepayer Advocates 505 Van Ness Avenue, Room 3200 San Francisco, CA 94102 Rami Kahlon California Public Utilities Commission Water Advisory Branch, Room 3106 505 Van Ness Avenue San Francisco, CA 94102-3214

Ventura County Waterworks District 7150 Walnut Canyon Road P.O. Box 250 Moorpark, CA 93020

County of Ventura 800 South Victoria Avenue Ventura, CA 93009 Marcus Nixon Asst. Public Advisor 320 W. 4th Street, Suite 500 Los Angeles, CA 90013

City of Camarillo 601 Carmen Drive Camarillo, CA 93010 City of Thousand Oaks Water Dept. 2100 E. Thousand Oaks Blvd. Thousand Oaks, CA 91362 Lenard G. Weiss Manatt One Embarcadero Center, 30th Floor San Francisco, CA 94111-3719

Sarah E. Leeper California American Water 333 Hayes Street, Suite 202 San Francisco, CA 94102

BY E-MAIL:

Division of Ratepayer Advocates California Public Utilities Commission dra_water_al@cpuc.ca.gov Ms. Lisa Bilir
California Public Utilities Commission
Division of Ratepayer Advocates
505 Van Ness Avenue
San Francisco, CA 94102
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Richard Rauschmeier California Public Utilities Commission DRA - Water Branch, Rm 4209 505 Van Ness Ave San Francisco, CA 94102 rra@cpuc.ca.gov

Jay T. Spurgin, PE City Engineer City of Thousand Oaks 2100 Thousand Oaks Blvd Thousand Oaks, CA 91363 jspurgin@toaks.org Lori Anne Dolqueist Manatt One Embarcadero Center, 30th Floor San Francisco, CA 94111-3719 Idolquiest@manatt.com

Barry Gabrielson bdgabriel1@aol.com

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1109

BY MAIL:

James M. Bouler
133 Eton Court

Santa Rosa, CA 95403

Shanna Foley

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214

John K. Hawks

California Water Association

601 Van Ness Ave., Ste. 2047, Mc E3-608

San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq

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One Embarcadero Center, 30Th Floor

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Lenard G Weiss Attorney At Law

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Sarah E. Leeper

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333 Hayes Street, Suite 202 San Francisco, CA 94102

Ken Dursa

For Wastewater Equity

Central Coast Coalition Of Communities

145 Harvest Street Salinas, CA 93901 Bob Mckenzie

Water Issues Consultant

Coalition Of Peninsula Businesses

P.O. Box 223542 Carmel, CA 93922 Richard Rauschmeier

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Niki Bawa

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5038 San Francisco, CA 94102-3214

Carl Wood

Afl-Cio, Natl Regulatory Affairs Dir.

Utility Workers Union Of America

2021 S. Nevada St Oceanside, CA 92054

Allison Brown

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5206 San Francisco, CA 94102-3214

Case Coordinator

California American Water Company

333 Hayes St., Ste. 202 San Francisco, CA 94102

Celia Hirschman Co-Founder

California Water Rights Association

1281 Montgomery St. / P.O. Box 1225

Oroville, CA 95965 James R. Riley

Co-Chair Ccccwe

P.O. Box 7223

Spreckles, CA 93962

Thomas Frutchey
City Manager

City Of Pacific Grove 300 Forest Avenue

Pacific Grove, CA 93950

Frances M. Farina
De Lay & Laredo
389 Princeton Avenue

Santa Barbara, CA 93111-1637

Tony Tully

Calif Public Utilities Commission

Water Branch

505 Van Ness Avenue Room 4209 San Francisco, CA 94102-3214

Jennifer Kalafut

Calif Public Utilities Commission

Executive Division

505 Van Ness Avenue Room 5303 San Francisco, CA 94102-3214

John Revnolds

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